



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2177.  
#6.  
BT  
7-16-02

In re Application of: Blair et al.

Application No.: 09/782,620

Filed: 02/13/2001

For: METHOD AND SYSTEM FOR  
EXTRACTING INFORMATION FROM  
RFQ DOCUMENTS AND  
COMPRESSING RFQ FILES INTO A  
COMMON RFQ FILE TYPE

Group Art Unit: 2177

Examiner: Unassigned

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**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicant cites the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name Kim R. Jessum Signature Kim R. Jessum Date of Deposit June 26, 2002

In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (3 sheets) are provided.

U.S. Patents

<u>Patent No.</u>	<u>Issue Date</u>	<u>Patentee</u>
✓ 3,581,072	5/25/71	Nymeyer
3,863,060	1/28/75	Rode, et al.
4,597,045	6/24/86	Kiuchi
4,674,044	6/16/87	Kalmus, et al.
4,789,928	12/6/88	Fujisaki
4,799,156	1/17/89	Shavit, et al.
4,845,625	7/4/89	Stannard
4,992,940	2/12/91	Dworkin
5,136,501	8/4/92	Silverman, et al.
5,193,056	3/9/93	Boes
5,243,515	9/7/93	Lee
5,297,032	3/22/94	Trojan, et al.
5,375,055	12/20/94	Togher, et al.
5,394,324	2/28/95	Clearwater
5,402,336	3/28/95	Spiegelhoff, et al.
5,606,602	2/25/97	Johnson, et al.
5,629,982	5/13/97	Micali
5,640,569	6/17/97	Miller, et al.
5,664,115	9/2/97	Fraser

5,684,963	11/4/97	Clement
5,689,652	11/18/97	Lupien, et al.
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5,727,165	3/10/98	Ordish, et al.
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5,758,328	5/26/98	Giovannoli
5,765,138	6/9/98	Aycock, et al.
5,774,873	6/30/98	Berent, et al.
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5,794,219	8/11/98	Brown
5,797,127	8/18/98	Walker, et al.
5,799,151	8/25/98	Hoffer
5,799,285	8/25/98	Klingman
5,802,502	9/1/98	Gell, et al.
5,803,500	9/8/98	Mossberg
5,809,483	9/15/98	Broka, et al.
5,826,244	10/20/98	Huberman
5,832,496	11/03/98	Anand, et al.
5,835,896	11/10/98	Fisher, et al.
5,862,223	1/19/99	Walker, et al.
5,890,138	3/30/99	Godin, et al.
5,897,621	4/27/99	Boesch, et al.
5,905,974	5/18/99	Fraser, et al.
5,905,975	5/18/99	Ausubel

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5,966,699	10/12/99	Zandi
6,014,627	1/11/00	Togher, et al.
6,021,398	2/1/00	Ausubel
6,023,685	2/8/00	Brett, et al.
6,044,363	3/28/00	Mori, et al.
6,055,518	4/25/00	Franklin, et al.
6,058,379	5/2/00	Odom, et al.
6,061,663	5/9/00	Bloom, et al.
6,078,906	6/20/00	Huberman
6,119,229	9/12/00	Martinez, et al.
6,151,589	11/21/00	Aggarwal, et al.
6,161,099	12/12/00	Harrington, et al.
6,199,050	3/6/01	Alaia, et al.
6,266,652	07/24/01	Godin, et al.
6,366,891	4/2/2002	Feinberg

Foreign Patents

<u>Publication No.</u>	<u>Publication Date</u>	<u>Country</u>
✓ 0399850A	11/28/90	EP
✓ WO 92/15174	9/3/92	WIPO
✓ WO 97/37315	10/9/97	WIPO
WO 98/34187	8/6/98	WIPO
✓ WO 99/63461	12/9/99	WIPO

✓ 409101994A	4/97	JP
✓ 410078992A	3/98	JP

Other Publications

✓ Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998

✓ Associated Press, "Auction on Web is Buyer's Market", April 6, 1998

✓ "BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995

✓ Danny Cohen, "Computerized Commerce", Information Processing 89, August 28-September 1, 1989

✓ "FairMarket Launches New Self-Serve Auctions", Business Wire, p6161495, June 16, 1998

✓ Jahnke, "How Bazaar", CIQ Web Business Magazine, August 27, 1998

Jean-Pierre Banatre, et al., "The Design and Building of Enchere, a Distributed Electronic Marketing System" Communications of the ACM, No. 1, January 29, 1986

✓ Lee, "Do electronic marketplaces lower the price of goods?", Communications of the PCM, v41n1 pp 73-80, January 1998

✓ Malone, et al., "The Logic of Electronic Markets", Harvard Business Review, No. 893II, May-June 1989

"Moai Technologies Introduces New categories of Business to Business Auction Software...", Business Editors and Computer Writers, March 16, 1998

✓ Kikuchi, et al., "Multi-Round Anonymous Auction Protocols", IEICE Trans. Inf. & Syst., vol.E82-D, No.4, April 1999

✓ "Online bidding software", Electronic Buyers' News, Issue 1072, p86, 1/6p, August 25, 1997

\* ✓ Sairamesh, et al., "Economic Framework for Pricing and Charging Digital Libraries", D-Lip Magazine, February 1996

✓ "Sold!...To the Lowest Bidder", Computer Finance, v6, n2, July 1995

✓ "Venture Capitalists Fund Two Massachusetts Internet Related Companies", Boston Globe, January 14, 1998

✓ Vigoroso, "Buyers prepare for brave new world of e-commerce", Purchasing, v126, n6, pS4(1), April 22, 1999

✓ Von der Fehr, et al., "Predatory bidding in sequential auctions", Oxford Economics Papers, v46, n3, p345(12), July 1994

✓ "What you need to know to bid in FCC's narrowband auction", Washington Telecom News, v2, n26, p6(2), June 27, 1994

- ✓ Wilder, "What's Your Bid? – FreeMarkets' real-time online bidding technology lets clients drive downcosts and improve product value", InformationWeek, November 10, 1997

✓ Woolley, "E-muscle", Forbes, March 9, 1998

Applicant respectfully requests that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO-1449 (3 sheets) with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

**EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

June 26, 2002  
Date

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Substitute for form 1449A/PTO		Complete if known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/782,622
		Filing Date	February 13, 2001
		First Named Inventor	Blair et al.
		Group Art Unit	2177
		Examiner Name	Unassigned
Sheet	of	Attorney Docket Number	046700-5035

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U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
	3,581,072		Nymeyer	5/25/71
	3,863,060		Rode, et al.	1/28/75
	4,597,045		Kiuchi	6/24/86
	4,674,044		Kalmus, et al.	6/16/87
	4,789,928		Fujisaki	12/6/88
	4,799,156		Shavit, et al.	1/17/89
	4,845,625		Stannard	7/4/89
	4,992,940		Dworkin	2/12/91
	5,136,501		Silverman, et al.	8/4/92
	5,193,056		Boes	3/9/93
	5,243,515		Lee	9/7/93
	5,297,032		Trojan, et al.	3/22/94
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	5,394,324		Clearwater	2/28/95
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	5,606,602		Johnson, et al.	2/25/97
	5,629,982		Micali	5/13/97
	5,640,569		Miller, et al.	6/17/97
	5,664,115		Fraser	9/2/97
	5,684,963		Clement	11/4/97
	5,689,652		Lupien, et al.	11/18/97
	5,715,402		Popolo	2/3/98
	5,727,165		Ordish, et al.	3/10/98
	5,758,327		Gardner, et al.	5/26/98
	5,758,328		Giovannoli	5/26/98
	5,765,138		Aycock, et al.	6/9/98
	5,774,873		Berent, et al.	6/30/98
	5,794,207		Walker, et al.	8/11/98
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	5,803,500		Mossberg	9/8/98
	5,809,483		Broka, et al.	9/15/98
	5,826,244		Huberman	10/20/98
	5,832,496		Anand, et al.	11/03/98
	5,835,896		Fisher, et al.	11/10/98
	5,862,223		Walker, et al.	1/19/99

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO		Complete if known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/782,62
		Filing Date	February 13, 2001
		First Named Inventor	Blair et al.
		Group Art Unit	2177
		Examiner Name	Unassigned
Sheet 2 of 3	Attorney Docket Number	046700-5035	

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5,890,138	Godin, et al.	3/30/99
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5,915,209	Lawrence	6/22/99
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6,199,050	Alaia, et al.	3/6/01
6,266,652	Godin, et al.	07/24/01
6,366,891	Feinberg	4/2/2002

FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sub>1</sub>
	Country Code	Number	Kind Code (if known)			
	EP	0399850A			11/28/90	
	WIPO	WO 92/15174			9/3/92	
	WIPO	WO 97/37315			10/9/97	
	WIPO	WO 98/34187			8/6/98	
	WIPO	WO 99/63461			12/9/99	
	JP	409101994A			4/97	
	JP	410078992A			3/98	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sub>1</sub>
	Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998	
	Associated Press, "Auction on Web is Buyer's Market", April 6, 1998	
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Examiner Signature	Date Considered
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	"What you need to know to bid in FCC's narrowband auction", Washington Telecom News, v2, n26, p6(2), June 27, 1994
	Wilder, "What's Your Bid? - FreeMarkets' real-time online bidding technology lets clients drive downcosts and improve product value", InformationWeek, November 10, 1997
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